



Formative Assessment



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Resources



PW = feedback

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Essential Questions

What is formative assessment?

Why formative assessment?

What is feedback?

What is a feedback system?

How can we give better feedback?

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Definition of formative assessment

"We use the general term to refer to all those activities that provide information to be used as feedback to modify teaching and learning activities. Such assessment becomes *assessment* when the evidence is actually used to adapt the teaching to meet student needs"

(Black & Wiliam, 1998, p. 140)

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True formative assessment

□ It is only 'formative' if –

1. There are built-in opportunities to use the feedback
2. The feedback refers to ongoing goals, not just past teaching

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3 simple examples

- 1. Writing a draft of a paper, getting feedback from peers and teacher, revising the paper as appropriate
- 2. A pre-test in science and math that looks for common misconceptions and their being overcome in the post-test

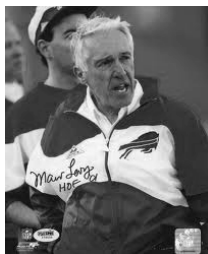


Formative Assessment – the basics



Marv Levy once said...

- “What do you think we do out here – wind up a playbook in July and pray?...”



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Levy:

- “...Coaching is about adjustment in light of ongoing results and our goals”

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CAUTION, therefore

- Genuine formative assessment concerns YOUR long-term goals, not just “Did they learn what I just taught?”



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Implications

- Focus on –
 - Clear program & course goals
 - Recurring performances
 - Recurring questions
 - Overcoming of persistent misunderstandings and performance deficits

Course Planner for Transfer: US History Example							
EQs	Who is an American? Supply what?	When and why have we struggled to honor our ideals?	What has been gained and lost in our expansion and growth?	Who has the power, who doesn't, and why?	What is the proper role of the federal gov't?	Why have we fought? What was gained and lost as a result?	What is America's role in the world?
Transfer Goals							
1. Find appropriate sources and evaluate the validity and usefulness of sources, primary and secondary							
2. Apply an understanding of the past to an analysis of the present and predictions of the future							
3. Analyze and evaluate conflicting historical accounts & interpretations into a new synthesis							
4. Demonstrate perspective in considering events, narratives, interpretations, and arguments							
5. Critique the work of other historians: identify slant, bias, distortion, oversight, and misinterpretation							
6. Develop and support an independent thesis, to construct a sound historical argument							
7. Construct and defend a historical narrative – your story of events							

Most powerful formative assessment

- Explicit performance goals
- Models of those goals
- Timely feedback against those goals
- Ongoing self-assessment & self-adjustment
- Advice, as needed
- BUILT-IN opportunities to try again – time allotted in the unit plan
- A grade/score is not typically counted

CAUTION

- The key to effective formative assessment is not collecting feedback but knowing what to do with it to improve learning.



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Implications

- Think backward from the use of the feedback, not just the method(s) of formative assessment
 - E.g. How will results from exit slips help you? Help students? i.e. **If results are poor, how will you re-teach or revise plan?**

Essential Questions

What is formative assessment?

Why formative assessment?

What is feedback?

What is a feedback system?

How can we give better feedback?

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“most effective” college courses

from

- “Students overwhelmingly report that the single most important ingredient for making a course effective is getting rapid response on assignments and quizzes.
- “Students suggest that it should be possible in certain courses to get immediate feedback. They suggest that the professor should hand out an example of an excellent answer.

- Richard Light

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Feedback & use (cont.):

- "Secondly... an overwhelming majority are convinced that their best learning takes place when they have a chance to submit an early version of their work, get detailed feedback and criticism, and then hand in a final revised version...
- Many students observe that their most memorable learning experiences have come from courses where such opportunities are routine policy."

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Hattie’s Meta-analysis		
Rank	Influence	ES
1	Self-report/ self-assess	1.44
5	Reciprocal teaching	.74
8	Feedback	.72
9	Formative evaluation to teachers	.70
11	Meta-cognition strategies	.67

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Video examples

- ▣ Eric Mazur – Harvard University
- ▣ 2 grade & 5 grade Socratic Seminar and de-brief

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Eric Mazur - Physics at Harvard

- ▣ After 10 minutes, Mazur poses a question that requires conceptual understanding (such as estimating the displacement of a toy boat in a bathtub).
 - Students write their answers on a sheet and identify their levels of confidence in the answer.
 - In pairs, attempt to convince others of their answers.
 - Students then answer the question a second time and report their confidence levels again.
 - The whole class is polled again about their answers.

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Mazur's data

- ▣ Mazur has collected data on the impact of his approach on several outcome measures over a decade vs. traditional lecture -
 - students performed considerably better on standard physics course exams
 - students scored higher on measures of traditional problem solving
 - Students scored much higher in conceptual understanding

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Essential Questions

- What is formative assessment?
- Why formative assessment?
- What is feedback?**
- What is a feedback system?
- How can we give better feedback?

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CAUTION

- ▣ MOST people are confused about what true feedback is.



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IF... THEN...

- ▣ What was the best feedback you ever received?
- ▣ After sharing: what is common to all the stories – what is true of the best feedback giving, in general?

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One day 20 years ago, my son wrote -

JUSTIN

Then, I wrote:
N And asked: "What is this letter?"

He answered - "N"

"Right!" I said
Then I wrote:
H And asked: "What is this letter?"

He answered - "H"

I said: That's right.
Then I asked, what is this letter of yours?

JUSTIN

And he answered...

He said:

- ▣ “Not what I wanted!”
- ▣ Thus, an *effective* feedback system

Coaching

- ▣ “A coach is someone who can give correction without causing resentment.”

▪ Gallimore, R., & Tharp, R. G. (2004). What a coach can teach a teacher, 1975-2004: Reflection and reanalysis of John Wooden's teaching practices. *The Sport Psychologist*, 18, 119-137

Concept Attainment: Feedback

1. Compare examples (YES) with non-examples (NO) of a concept.
2. Identify the distinguishing characteristics of each.
3. Test your ‘theory’ against new cases.
4. Refine your concept, as needed.

YES: Examples of (oral) feedback

- “You had me in the opening paragraph with the graphic description of her face; you lost me in the 4th paragraph – I couldn’t tell who was talking and how the dialogue fit with what came before.”
- “Your conclusion does not follow from the data and reasoning provided. You haven’t accounted for the outliers.

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YES: Examples of non-verbal feedback:

- No one clapped with much enthusiasm after a student’s speech.
- A math student sets about revising her visuals after self-assessing her work against models from past years
- The student trying to ask for directions in a French language scenario tries again to communicate in light of puzzled looks on the listeners’ faces
- The science student realizes the experiment must be re-done in light of the data being so inconclusive

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NO: not feedback

- “Good job!”
- “Try harder!”
- “Good – 87%”
- “Next time, start with your thesis statement upfront.”
- “Your story about ants? I love ants!”
- “B+”
- “Why did you use that tone of voice”

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So, what *is* feedback?

▣What distinguishes the examples from the non-examples?

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	YES	NO
I can't hear you	<input type="checkbox"/>	<input type="checkbox"/>
Good lecture!	<input type="checkbox"/>	<input type="checkbox"/>
Speak louder, please	<input type="checkbox"/>	<input type="checkbox"/>

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	YES	NO
Nice job: good lecture!	<input type="checkbox"/>	<input type="checkbox"/>
No one laughed at your joke	<input type="checkbox"/>	<input type="checkbox"/>
"Poor shot!"	<input type="checkbox"/>	<input type="checkbox"/>


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Feedback?	YES	NO
"I noted that 3 of the 28 students in groups of 4 were off-task when I went around the room"	<input type="checkbox"/>	<input type="checkbox"/>
You, the teacher see that kids are starting to fidget; your goal was engaged and on-task behavior	<input type="checkbox"/>	<input type="checkbox"/>
"What was your thinking about the use of the graphic organizer?"	<input type="checkbox"/>	<input type="checkbox"/>

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Feedback?	YES	NO
"You might want to post your EQ and refer to it multiple times"	<input type="checkbox"/>	<input type="checkbox"/>
"You only called on the girls"	<input type="checkbox"/>	<input type="checkbox"/>
"Why did you group them by ability?"	<input type="checkbox"/>	<input type="checkbox"/>

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Feedback, defined	
<p>□ <u>Useful</u> descriptive information on one's performance, <u>given</u> a specific & known goal</p> <ul style="list-style-type: none"> Feedback is not evaluative Feedback is not advice 	

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Feedback, defined (2)

▫ Learning what worked and why, not just what didn't work



- "feedback" doesn't mean only "a focus on deficits"

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Feedback, defined (2)

▫ i.e. students need both positive and negative feedback – not to be confused with praise and criticism



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Feedback, defined (3)

▫ The feedback questions:



- Given the goal and attempt, what worked? Why? What didn't? Why?

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Advice, defined

- Useful information on what to do to improve performance, based on the feedback and the goal



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Advice, defined (2)

- The advice question:
 - Given the result & other feedback, how might performance be improved?



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Feedback vs. Advice

- We tend to give too much advice, too quickly!
 - Ideally, the student can self-advise, based on rich feedback and clear goals/models

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Evaluation, defined

▫ Value is placed on the performance –

- “Good job”
- “B –”



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Evaluation

▫ We tend to give too much evaluation or praise/blame instead of feedback



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Too much grading, not enough feedback

▫ Secondary-level teachers tend to do far more evaluation than formative assessment

Tip: put a colon after praise/blame

□ When you hear yourself say “Good job” or “No, not right” immediately say WHY in terms of goal/results –

- *Good job:* your provocative comments, your use of silence, and overall pace made them engaged right from the start and kept them so.
- *No, not right:* that didn’t engage them. Your pace was slow and the content did not offer any surprise, suspense or provocative ideas or questions that might engage someone.

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Tip: Have students help create the criteria

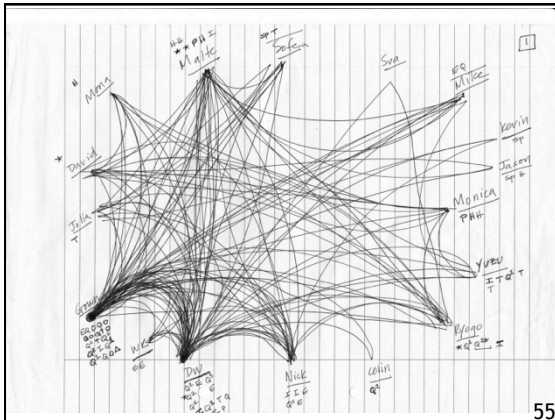
□ The feedback will be both clearer and more readily accepted if students helped create the criteria for the performance in question.

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Useful tip: avoid ‘pleasing’ language and tone

- It should never seem to students that the job is to please you
- It is more a matter of fact:
 - What, specifically, they did or did not do that was necessary for achieving a clear desired result.

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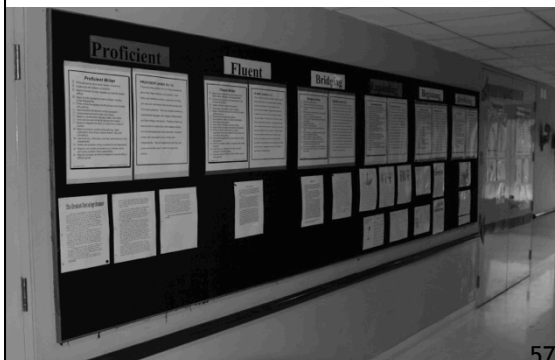


Helpful feedback: criteria

- The more feedback is –
 - Detailed and specific description of what you did and didn't do in light of goal(s)
 - Derived from concrete standards/models
 - Timely & On-going
 - User-friendly - in approach and amount
 - Consistent (across time and people)
 - Expert; Accurate
 - Honest and de-personalized, yet constructive
- ...the more likely the help will be understood, accepted, and useful


[illegible]

Clear Models + Criteria



Note: Rubrics are NOT sufficient

- Rubrics are very general, derived from many samples
- Better to have just the models than just a rubric



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Essential Questions

What is formative assessment?

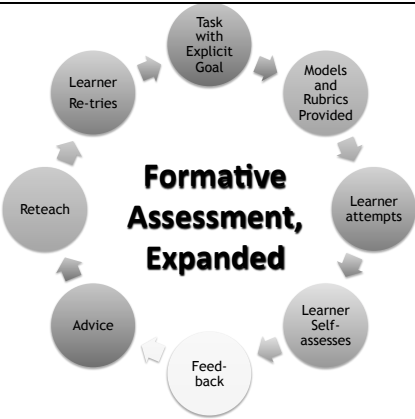
Why formative assessment?

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Example

- GOAL: Write an essay
- MODEL: sample papers, good and bad; rubrics that identify criteria & indicators
- ATTEMPT 1 + SELF-ASSESSMENT: draft paper with self-assessment against rubric
- FEEDBACK: comments that refer to specifics of learner attempt & models + rubrics
- ADVICE: practical tip on a key or targeted deficit
- ATTEMPT 2: final draft + self-assessment

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Seven Strategies of Assessment for Learning

Where am I going?

- 1 Provide students with a clear and understandable vision of the learning target.
- 2 Use examples and models of strong and weak work.

Where am I now?

- 3 Offer regular descriptive feedback.
- 4 Teach students to self-assess and set goals.

How can I close the gap?

- 5 Design lessons to focus on one learning target or aspect of quality at a time.
- 6 Teach students focused revision.
- 7 Engage students in self-reflection, and let them keep track of and share their learning.

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Elem school – Self-Assessment

Positives	Focus
317 - content was in sequence (logical progression)	closing paragraph - direct to opening - focus
326 - great sentence structure	support - use text to add more detail
331 - great opening	answer all parts of the writing question
413 - used details & support	indent for new paragraphs (not one long paragraph)
413 - used information from passage, opening and closing	support
421 - great content and topic sentence	will have your story so you don't repeat (jump)
428 - answered question, valid sentence	take a compositional risk
455 - developed lead into story, clear sequence	

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User-friendly indicators

Complex Name:

Simple Name:

Present Level:

New Level:

Indicator:

Level A SEAHORSE	Comments	Level B ANGELFISH	Comments	Level IV TUNA	Comments
1. Pool rules and basic water safety		1. Pool rules and basic water safety		1. Pool rules and basic water safety	
2. Show abilities		Remove component: Reaching Above		Remove component: The Buddy System	
3. Jump from the side with the aid of instructor		2. Buoy		2. Buoy, deep water	
4. Back float with aid of instructor		3. Open eyes underwater		3. Underwater swim, 25 feet	
5. Flotation kick with kickboard from wall to instructor		4. Prone glide/float		4. Traveling swim, 6 minutes	
6. Adjustment to water		5. Freestyle swim, breathing, 25 yards		5. Elementary backstroke	
		6. Flotation kick with entry breathing, 25 yards		6. Head first surface dive	
Level B STARFISH		7. Back float, 1 minute		7. Survival float, 7 minutes	
1. Pool rules and basic water safety		8. Backstroke, 1 minute		8. Freestyle with 5' pull	
2. Show abilities		9. Jump from side, deep water		9. Buoy swim	
3. Buoy		10. Knee dive		10. Buoy 100 yards, freestyle	
4. Prone glide to instructor		11. Survival float, 1 minute		Backstroke, Backstroke, 50 yards each	
5. Back float, 12 seconds		12. Traveling swim, 1 minute			
6. Flotation kick, 1 minute with kickboard, breathing abilities		Level III BLUEFISH		Level V MANATEE	
7. Jump from side		1. Pool rules and basic water safety		1. Pool rules and basic water safety	
8. Jump from side		Remove component: The Buddy System		Remove component: The Rescue Tube	
9. Under 30 seconds to instructor, swim CUT, Face 20'		2. Buoy		2. Freestyle 200 yards endurance swim, stopwatch	
		3. Freestyle with entry breathing, 25 yards		3. Survival float, 12 minutes	
Level I SUNFISH		4. Combination swim, 25-50		4. Traveling swim, 10 minutes	
1. Pool rules and basic water safety		5. Combination swim, 25-50		5. Open swim, all strokes	
Remove component: Reaching Above		6. Freestyle 250, mixed swim 30 seconds, return to side		6. Backstroke, 10' pull	
2. Show abilities		7. Flotation kick with entry breathing, 25 yards		7. Butterfly, dolphin kick, swim out of the water	
3. 12 Buoy		8. Backstroke, 25 yards			
4. Prone glide 10 seconds, face submerged		9. Backstroke, 25 yards		Level VI ORCA	
5. Prone glide with kick		10. Introduction elementary backstroke		1. Pool rules and basic water safety	
6. Prone float, 10 seconds		11. Survival float, 1 minute		Remove component: Approach backstroke	
7. Flotation kick with kickboard, 25 yards				2. Freestyle, 200 yards, swimmy breathing, 5' pull	
8. Freestyle (front crawl) 10 feet, swim out of the water				3. Backstroke, 200 yards, 5' pull	
9. Back glide 10 seconds				4. Breast stroke 200 yards, with correct frog kick and arm pulls	
10. Back float 20 seconds				5. Sidekick, 30 yards	
11. Jump from side, deep water				6. Butterfly 25 yards, dolphin kick, swim out of the water	
				7. Flip turn	
				8. Feet first surface dive	

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What formative assessment IS NOT

- It is not formative assessment if –
 - There is no opportunity to use feedback to improve
 - If all that is assessed is content never to recur
 - The student only gets a grade or total score

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Essential Questions

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"Can you tell me how to get to Carnegie Hall?"

▣ Practice

Get feedback on your feedback



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Tips for improving efficiency

- ▣ Highlight feedback to the group on most important common points
- ▣ Develop their skill in peer review
- ▣ Use multiple feedback givers
- ▣ Use non-human feedback devices and approaches where possible

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advice: criteria

- ▣ The advice should be –
 - Brief and practical
 - Modeled
 - Focus on 1 area
 - Offered only if recipient of feedback can't self-advise based on the feedback

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When the news is not so good





- ▢The feedback should be ‘sandwiched’–
 - Success
 - Deficit
 - Success

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Rubrics

- ▢Make them highly descriptive – derived from models
- ▢Focus more on impact rather than just content and process

Analyzing Samples

			
Excellent	Good	Acceptable	Not Acceptable
↓	↓	↓	↓
DESCRIPTOR	DESCRIPTOR	DESCRIPTOR	DESCRIPTOR

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Rubrics

▫Goal: “Excellent oral presentation”

- Look at samples
- Sort via draft criteria
- Describe each pile of samples

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What happens if you don't!

▫Goal: “Excellent oral presentation”

▫Proposed criteria:

- Accurate content
- Eye contact
- Organized
- Good diction

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Example of problematic criteria

▫Goal: “Excellent oral presentation”

▫Proposed criteria:

- Accurate content
- Eye contact
- Organized
- Good diction

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Couldn't you do all those – without success?

More generally...

□Could a performance have good *content* and the performer use good *processes* – but the performance still not have the desired result?

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Typical criteria questions		
	PROCESS	CONTENT
	<ul style="list-style-type: none">• Was the work polished?• Was the group efficient?• Was the paper organized?• Was the approach methodical?• Was the paper well-researched?	<ul style="list-style-type: none">• Was the content accurate?• Was the paper on target?• Was the content appropriate for this audience and purpose?• Were the calculations precise?

Impact criteria

□IMPACT:

- The result, given the goal
- The effect, given the intent
- The bottom line, regardless of effort
- The point of performance

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Impact criteria questions		
IMPACT	PROCESS	CONTENT
<ul style="list-style-type: none">• Was the story engaging?• Was the proposal persuasive?• Was the speech memorable?• Was the problem solved?• Was the Spanish speaker understood?• Was the historical narrative illuminating?	<ul style="list-style-type: none">• Was the work polished?• Was the group efficient?• Was the paper organized?• Was the approach methodical?• Was the paper well-researched?	<ul style="list-style-type: none">• Was the content accurate?• Was the paper on target?• Was the content appropriate for this audience and purpose?• Were the calculations precise?

Developing a rubric	
<ol style="list-style-type: none">1. Brainstorm the key indicators/criteria of excellent vs. sub-standard performances.2. Group indicators by more general criteria3. Compare with others or to existing real-world examples4. Settle on a refined set of valid criteria (3-4) and checklists, as needed5. Draft descriptors of highest level/not up to standard for each criterion6. Flesh out a scale of 4 (or 6) points; analyze the 'cut' score via samples on both sides7. Refine descriptors via more samples of work8. Focus on IMPACT	80

👉 TIP: make the implicit explicit	
<p>□ That's really a key value of rubrics with rich value-neutral descriptors and indicators: to have a streamlined, evidence-based & consistent system in which feedback can be given quickly, validly, and reliably.</p>	81

Tips for improving efficiency

- Highlight feedback to the group on most important common points
- Develop their skill in peer review
- Use multiple feedback givers
- Use non-human feedback devices and approaches where possible

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Tips for improving feedback & performance

- De-personalize judgment into the facts of the *goal* and the *results*
- Provide multiple models to support goals and rubrics
- Ask performers to self-assess against the goal before your feedback (& any advice)
- Use only descriptive language in rubrics, and refer to that language when giving oral feedback

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Resources

- Grant's article in Ed Leadership:
 - <http://www.ascd.org/publications/educational-leadership/sept12/vol70/num01/Seven-Keys-to-Effective-Feedback.aspx>
- Grant's follow-up blog entry:
 - <http://grantwiggins.wordpress.com/2012/11/04/on-feedback-13-practical-examples-per-your-requests/>
- Many resources at:
 - www.grantwiggins.info
 - E-mail: gwiggins@authenticeducation.org

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Recent articles of note

- The benefits of more & spaced testing:
 - <http://www.newrepublic.com/article/114793/american-schools-need-more-testing-not-less>
 - [http://psych.wustl.edu/memory/Roddy%20article%20PDF's/BC_Roediger%20et%20al%20\(2011\)_PLM.pdf](http://psych.wustl.edu/memory/Roddy%20article%20PDF's/BC_Roediger%20et%20al%20(2011)_PLM.pdf)
 - http://www.ascd.org/ASCD/pdf/journals/ed_lead/el_199104_dempster.pdf

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Recent articles of note

- The benefits of pre-testing:
 - <http://www.nytimes.com/2014/09/07/magazine/why-flunking-exams-is-actually-a-good-thing.html>

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Internet resources

- <http://ati.pearson.com/tools-resources/>
- http://ati.pearson.com/downloads/SevenStrategies_StudyGuide_web.pdf
- <http://www.scholastic.com/teachers/article/what-are-formative-assessments-and-why-should-we-use-them>
- <http://wvde.state.wv.us/teach21/ExamplesofFormativeAssessment.html>
- <http://www.ascd.org/publications/books/108018/chapters/Formative-Assessment@-Why,-What,-and-Whether.aspx>
- <http://www.edutopia.org/blog/formative-assessments-importance-of-rebecca-alber>

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**Assessment for Learning:
References & Resources**

- On Eric Mazur's Physics teaching
 - <http://www.columbia.edu/cu/gsapp/BT/RESEARCH/mazur.html>
 - <http://www.bedu.com/Newsletterarticle/mazurperspective.html>
- On Black & William's research
 - <http://www.pdkintl.org/kappan/kbla9810.htm>
 - http://english.unitecnology.ac.nz/resources/resources/classroom_learning.html
- British Websites on Formative Assessment
 - <http://www.qca.org.uk/7659.html>
 - http://www.qca.org.uk/293_3236.html
 - <http://www.qca.org.uk/downloads/unlocking.pdf>
- Research on homework
 - <http://www.nfer.ac.uk/publications/other-publications/downloadable-reports/recent-research-on-homework-an-annotated-bibliography.cfm>
 - http://www.centerforpubliceducation.org/site/c.kjXJ5MPiwE/b.2466963/k.D3DF/Key_lessons_What_research_says_about_the_value_of_homework.htm

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